

Sanlam Universal Funds plc

An umbrella fund with segregated liability between sub-funds

A company incorporated with limited liability as an investment company with variable capital incorporated under the laws of Ireland with registered number 458665)
(the "**Company**")

ADDITIONAL INFORMATION FOR INVESTORS IN SWITZERLAND

Information contained herein is selective, containing specific information in relation to the Company. This document (the "Swiss Country Supplement") forms part of and should be read in conjunction with the Prospectus for the Company dated 16 June 2016 together (the "Prospectus"). This document is for distribution in the Switzerland only.

Words and expressions defined in the Prospectus shall, unless the context otherwise requires, have the same meaning when used herein.

Dated: 11th October 2017

ADDITIONAL INFORMATION FOR QUALIFIED INVESTORS IN SWITZERLAND

Shares of Sanlam FOUR US Dividend Fund, Sanlam FOUR Stable Global Equity Fund and Sanlam FOUR Multi Strategy Fund (the "**Funds**") can be offered in Switzerland exclusively to Qualified Investors as defined by Article 10 § 3 of the Collective Investment Scheme Act ("**CISA**") and Article 6 of the Collective Investment Scheme Ordinance ("**CISO**") ("**Qualified Investors**"). The Funds have not been and will not be registered with the Swiss Financial Market Supervisory Authority ("**FINMA**"). This Supplement and/or any other offering materials relating to the Funds may be made available in Switzerland solely to Qualified Investors.

1. Representative in Switzerland:

The representative in Switzerland is **Oligo Swiss Fund Services SA**, Av. Villamont 17, 1005 Lausanne, Switzerland Tel.: +41 21 311 17 77, Email: info@oligofunds.ch.

2. Paying Agent in Switzerland:

The paying agent in Switzerland is **Neue Helvetische Bank AG**, Seefeldstrasse 215, CH-8008 Zurich, Switzerland.

3. Location where the relevant documents may be obtained

The statutory documents of the Company including the Prospectus, the key investor information documents, the memorandum and articles of association, the annual and semi-annual reports and/or any other legal documents may be obtained free of charge from the Representative.

4. Payment of retrocessions and rebates

The investment manager of the Funds may pay retrocessions as remuneration for distribution activity in respect of Shares in or from Switzerland to the distributors and sales partners listed below:

- Distributors subject to appropriate supervision in Switzerland or its country of domicile as defined in Article 19§ 1bis of the CISA (Swiss or foreign distributors regulated in their home jurisdiction);
- Distributors that are not required to obtain an authorization as defined under Article 19§ 1bis of the CISA and Article 8 of CISO (banks, insurances, fund managers, representatives); and
- Sales partners who place shares in funds/sub-funds with their customers exclusively through a written commission-based asset management mandate (Independent Asset Managers) i.e. the customer has to be transparently informed that the sales partner is receiving retrocessions.

Retrocessions are not deemed to be rebates even if they are ultimately passed on, in full or in part, to the investors. The recipients of the retrocessions must ensure transparent disclosure and inform investors, unsolicited and free of charge, about the amount of remuneration they may receive for distribution. On request, the recipients of retrocessions must disclose the amounts they actually receive for distributing the collective investment schemes of the investors concerned.

Rebates are permitted provided that:

- the investment manager of the Funds pay them from the fees due to it (so that they are not charged to the Fund);
- they are granted on the basis of objective criteria (see below);

- all Shareholders (irrespective of whether they are qualified investors or not), who qualify on the basis of these objective criteria and demand rebates are also granted these within the same timeframe and to the same extent; and

The objective criteria for the granting of rebates by the Financial Intermediaries are as follows:

- the size of a Shareholder's holding;
- the seed money investors;
- the long-term relationship;
- the overall relationship; and
- the capacity of the Fund.

At the request of a Shareholder, the aforementioned financial intermediaries must disclose free of charge the objective criteria for granting rebates, and the corresponding amounts. The names of the persons who already receive rebates need not be disclosed (business confidentiality).

Mentioning of other funds in the Prospectus or Supplement

Oligo Swiss Fund Services SA acts as Representative only to Sanlam FOUR US Dividend Fund, Sanlam FOUR Stable Global Equity Fund and Sanlam FOUR Multi Strategy Fund. In case there is any reference made in the Prospectus or Supplement to any other fund, that fund is not legally represented in Switzerland by Oligo Swiss Fund Services SA.

5. Place of performance and Place of jurisdiction

The place of performance and jurisdiction for any disputes relating to the distribution of shares of the Funds in and from Switzerland shall be the registered office of the Representative in Switzerland.